

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2021 through June 30, 2022*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Acacia Financial Group, Inc.	DEBT	No	No		
Academy Securities, Inc.	STIF & DEBT	Yes (STIF) & No (Debt)	No		
Acadian Asset Management, Inc.	PFM	Yes	No		
Advent Capital Management LLC	PFM	Yes	No		
Affinius fka USAA Eagle Real Estate Fund	PFM	Yes	No		
Albourne America, LLC	PFM	No	No		
Aldrich Capital Partners, L.P., Fund II	PFM	Yes	Yes	Aldrich Capital Partners was paid management fees totaling \$1,163,710 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Altaris Constellation Partners IV, L.P.	PFM	Yes	No		
Altaris Health Partners II, L.P.	PFM	Yes	No		
Altaris Health Partners III, L.P.	PFM	Yes	No		

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Altaris Health Partners IV, L.P.	PFM	Yes	No		
Altaris Health Partners V, L.P.	PFM	Yes	No		
American Realty Advisors	PFM	Yes	No		
American Veterans Group, PBC	Debt	No	No		
AmeriVet Securities	DEBT	No	No		
AMTEC Corp.	DEBT	No	No		
Anchorage Capital Group, LLC	PFM	Yes	No		
Aon Hewitt Investment Consulting, Inc.	PFM	Yes	No		
Apollo Capital Management IX, LLC	PFM	Yes	No		
Apollo Capital Management VIII, LLC	PFM	Yes	No		
Arclight Energy Partners Fund V, L.P.	PFM	Yes	Yes	<b>Financial Advisory:</b>	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
				RBC Capital Markets - \$1,750,000	
				<b>Legal Services:</b>	
				Kirkland & Ellis - \$333,644.93	
				Milbank, LLP \$30,877.41	
				Rock Creek Energy Group, LLP - \$2,925.73	
<b>Consulting Services</b>					
CRA International, Inc. - \$8,500					
				<b>Legal Services:</b>	
				Orrick, Herrington & Sutcliffe - \$149,686.20	
				Latham & Watkins, LLP - \$459,005.81	

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Arclight Energy Partners Fund VI, L.P.	PFM	Yes	Yes	Rock Creek Energy Group, LLP - \$39,117.16 <b>Research Services:</b> Rystad Energy Inc. - \$193,000 <b>Operational and Due Diligence Services</b> CAMS, LLC - \$100,030.46 <b>Consulting Services</b> CRA International, Inc. - \$25,500	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
ArentFox Schiff LLP <i>(as successor to Schiff Hardin, LLP)</i>	LEGAL	Yes	No		
ARES Real Estate Enhanced Income Fund	PFM	Yes	No		
ARGA Investment Management, LP	PFM	Yes	No		
Ariel Investments	PFM	Yes	No		
Artemis Real Estate Partners, LLC/CRPTF - Artemis Transition Assets LP	PFM	Yes	Yes	Artemis Real Estate Partners, LLC was paid management fees totaling \$4,134,001 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Attucks Asset Management, LLC <i>(f.k.a Capital Prospects, LLC)</i>	PFM	Yes	No		
Audit Services, US LLC	SIF & UCP	No	No		
Avance Investment Management, LLC	PFM	Yes	No		
Avenu SLS Holdings, L.L.C. <i>(Avenu Insights)</i>	SIF	No	No		
Backstrom, McCarley, Berry & Co., L.L.C.	DEBT	No	No		

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Balance Point Capital Partners III, L.P. <i>(Connecticut Growth Capital, LLC)</i>	PFM	Yes	Yes	Balance Point Capital CT Advisors, LLC was paid management fees totaling \$688,191 (quarterly in advance) pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Bancroft Capital, LLC	DEBT	No	No		
Bank of America, N.A.	STIF, CASH & DEBT	Yes (STIF), No (CASH & DEBT)	No		
Barclays Capital, Inc.	STIF & DEBT	Yes (STIF) & No (DEBT)	No		
Barings LLC <i>(formerly Cornerstone Patriot Fund)</i>	PFM	Yes	No		
Basis Investment Group Real Estate Fund I, II, LP	PFM	Yes	No		
BCEC Management X Limited <i>(BC Partners)</i>	PFM	Yes	No		
Berkshire Bank	STIF	No	No		
Bernstein Litowitz Berger & Grossman LLP	LEGAL	No	No		
BIG Real Estate Fund I, II, LP <i>(Basis Investment Group)</i>	PFM	Yes	No		
Bivium Capital Partners, LLC	PFM	Yes	No		
				Blackrock Global Infrastructure Fund IV D, L.P. Class was paid management fees totaling \$753,560.	

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BlackRock Financial Management, Inc./BGIF IV Sice Car C, LP/Global Renewable Power III Fund	PFM	Yes	No	BlackRock Financial Management, Inc was paid \$684,684.42 for management fees.	
				BlackRock Financial Management was paid \$16,46731 for operating expenses.	
Blackstone Property Partners Life Sciences, LP	PFM	Yes	No		
Blackstone Real Estate Partners Europe III, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners Europe V, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VI, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VIII, L.P.	PFM	Yes	No		
Blaylock Van LLC	DEBT	No	No		
BLX Group, LLC	DEBT	No	No		
BNY Mellon Capital Markets	STIF	Yes	No		
BofA Securities, Inc. <i>(formerly Bank of America Merrill Lynch)</i>	STIF & DEBT	Yes (STIF) & No (DEBT)	No	Merrill Lynch Pierce Fenner & Smith Incorporated was paid	
Bryant Rabbino, LLP	LEGAL	No	No		
Cabrera Capital Markets, LLC	DEBT	No	No		
Calamos Advisors LLC	PFM	Yes	No		
Canyon-Johnson Urban Fund III, LP	PFM	Yes	No		
Carlyle Realty Partners IX	PFM	Yes	No		
Castlelake Investor Relations	PFM	Yes	No		

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CastleOak Securities, L.P.	STIF	Yes	No		
Causeway Capital Management, LLC	PFM	Yes	No		
Centerbridge Partners LP	PFM	Yes	Yes	Hodes Weill Securities, LLC was paid \$800,902.87 in connection with placement agent fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Channing Capital Management, LLC	PFM	Yes	No		
Citigroup Global Markets, Inc.	STIF & DEBT	Yes (STIF) & No (DEBT)	Yes	Digital Assurance Certification was paid \$650 in connection with placement agent fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
				Locke Lord LLP was paid \$20,000 for legal services	
				Harris Beach PLLC was paid \$20,000 for legal services	
				Adams & Knight was paid a flat fee of \$36,034.33 or marketing services.	
Citizens Bank N.A.	CASH	No	No		
Cityview Real Estate Partners VII, LP	PFM	Yes	No		
Clarion Partners, LLC	PFM	Yes	No		
Clay Hill, LLC (CT Horizon Legacy Fund, L.P.)	PFM	Yes	Yes	Muller & Monroe was paid \$50,000 in connection with management fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
				ArentFox Schiff, LLP was paid \$3,490.44 in connection with legal fees	
				RSM US LLP was paid \$39,680 in connection with audit/tax fees.	

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				GreatBanc Trust Company was paid \$4,500 in connection with custody services.	
Clearlake Capital Partners III, L.P.	PFM	Yes	Yes	Clearlake Capital Management III, L.P. was paid management fees totaling \$67,741 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Partners IV, L.P.	PFM	Yes	Yes	Clearlake Capital Management IV, L.P. was paid management fees totaling \$292,018 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Partners V, L.P.	PFM	Yes	Yes	Clearlake Capital Management V, LP, was paid management fees totaling \$237,074 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Management VI, L.P.	PFM	Yes	Yes	Clearlake Capital Management VI, LP, was paid management fees totaling \$29,586,740.24 (inclusive of the amounts paid to Credit Suisse Private Fund, as described below) pursuant to the Limited Partnership Agreement. The Credit Suisse Private Fund Group was paid \$1,487,500 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Management VII, L.P.	PFM	Yes	Yes	The Credit Suisse Private Fund Group was paid \$3,940 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Management Flagship Plus Fund	PFM	Yes	No		
Clearlake Opportunities Fund II LP	PFM	Yes	Yes	Clearlake Capital Management Opportunities II, LP, was paid management fees totaling \$328,158 (inclusive of the amounts paid to Credit Suisse Private Fund, as described below) pursuant to the Limited Partnership Agreement. The Credit Suisse Private Fund Group was paid \$16,019 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Opportunities Fund III LP	PFM	Yes	Yes	Clearlake Capital Management V, LP, was paid management fees totaling \$636,408 pursuant to the Limited Partnership Agreement.	
Climate Adaptive Infrastructure Fund - TE LP	PFM	Yes	Yes	CAI Manager I LP was paid management fees totaling \$19,377,779 FirstPoint Equity Capital Ltd (crito Capital LLC) was paid \$8,000,000 in connection with placement agent fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
CliftonLarsonAllen LLP	DEBT	No	No		
Cohen Milstein Sellers & Toll PLLC	LEGAL	Yes	No		

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Columbia Management Investment Advisers, LLC	PFM	Yes	No		
Connex Credit Union	STIF	No	No		
Constitution Liquidating Fund I, LP	PFM	Yes	No		
Covenant Apartment Fund VIII, L.P.	PFM	Yes	No		
Covenant Apartment Fund IX, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid management fees totaling \$408,369 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Covenant Apartment Fund X, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid management fees totaling \$1,250,000 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Coventry Health Care Workers Compensation, Inc.	SIF	No	No		
Crabel Capital Management, LLC	PFM	Yes	No		
Crescent Capital Group	PFM	Yes	No		
Crow Holdings Realty Partners VII, LP	PFM	Yes	No		
Crow Holdings Realty Partners VIII, LP	PFM	Yes	No		
CRPTF - GCM Emerging Manager Partnership, L.P.	PFM	Yes	Yes	Red Arts Capital Opportunity Fund I, L.P. was paid management fees totaling \$333,401.00	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))

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Daiwa Capital Markets America, Inc.	STIF	Yes	No		
Day Pitney, LLP	LEGAL	No	No		
DRS Belgium SRL (Deminor International SCRL)	LEGAL	No	Yes	DRS Belgium SRL was paid EUR 6,405.43 for Investment Legal Services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Denali Advisors, LLC Capital Partners) (Bivium	PFM	Yes	No		
Deutsche Bank AG, New York Branch	PFM	Yes	No		
DLA Piper LLP (US)	LEGAL	Yes	No		
Dornenburg Group, LLC	DEBT	No	No		
Dover Street X, L.P.	PFM	Yes	No		
Dover XI, L.P. and Secondary Overflow Fund V L.P.	PFM	Yes	No		
Drexel Hamilton, LLC	DEBT	No	No		
Driehaus Capital Management, LLC	PFM	Yes	No		
EDL Capital AG	PFM	Yes	No		
EFPR Inc.	STIF	No	No		
EIG Management Company, LLC	PFM	No	No		
EQT VIII (No. 2) SCSp	PFM	Yes	No		
Essex Investment Management Capital Partners) (Bivium	PFM	Yes	Yes	Arrow Partners was paid quarterly fee \$25,000 in connection with marketing services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Estrada, Hinojosa & Company, Inc.	DEBT	No	No		
ExamWorks, LLC	SIF & UCP	No	No		
Faegre Drinker Biddle & Reath, LLP	Legal	Yes	No		
Fairview Constitution Fund II, LP	PFM	Yes	No		
Fairview Constitution Fund III, LP	PFM	Yes	No		
Fairview Constitution Fund IV, LP	PFM	Yes	No		
Fairview Constituion Fund V, LP	PFM	Yes	No		

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FHN Financial Capital Markets <i>(formerly FTN Financial Capital Markets)</i>	STIF & DEBT	Yes (STIF) & No (DEBT)	No		
Fidelity Capital Markets <i>(division of National Financial, LLC)</i>	DEBT	No	No		
Fiera Capital, Inc.	PFM	Yes	Yes	PineStone Asset Management was paid management fees totaling \$1,828,048.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Fitch Ratings, Inc.	DEBT	No	No		
Fortress Credit Opportunities Fund V Expansion MA - CRPTF LP	PFM	Yes	No		
Fortress Lending Fund II MA-CRPTF LP	PFM	Yes	No		
Fortress Lending Fund III MA-CRPTF LP	PFM	Yes	No		
Freeman CT Horizon Investment Fund, LLC <i>(Muller &amp; Monroe Asset Management, LLC)</i>	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid \$234,375 in connection with management fees ArentFox Schiff LLP was paid \$80,796.72 in connection with legal services. GreatBanc Trust Company was paid \$30,000 in connection with custody services. RSM US LLP was paid \$53,450 in connection with audit/tax fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
FS Equity Partners V, LP <i>(Spogli Management Co., LP)</i>	PFM	Yes	No		
FS Equity Partners VI, LP <i>(Spogli Management Co., LP)</i>	PFM	Yes	No		
GenNx360 Capital Partners II, LP	PFM	Yes	No		

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Georgian Partners Growth, LP	PFM	Yes	No		
Global Infrastructure Management, LLC	PFM	Yes	No	Campbell Lutyens & Co Inc. was paid \$197,843.98 in connection with placement agent fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
				Mitsubishi UFJ Alternative Investments Co., Ltd. was paid \$11,298.02 in connection with placement agent fees.	
				Larrain Vial Investment Inc. was paid \$1,378.00 in connection with placement agent fees.	
Global Payments Direct, Inc.	CASH	No	No		
Goldman Sachs Bank USA	DEBT	No	No	Cadwalader, Wickersham & Taft, LLP was paid underwriter's counsel fees totaling \$37,274.90.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Goldman, Sachs & Co.	CASH & DEBT	No	Yes		
Goldman Sachs Mitsui Derivative Products LP	PFM	Yes	No		
Goodwin Capital Advisers, Inc.	PFM	Yes	No		
GQG Partners LLC	PFM	Yes	No		
Grain Management, LLC	PFM	Yes	No		
Grant & Eisenhofer, PA	LEGAL	Yes	No		
Green Cities Investment Management (Green Cities II, LP & Green Cities III, LP, Green Cities IV, LP) (f.k.a. Gerding Edlen Investment Management)	PFM	Yes	Yes	Green Cities Investment Management was paid management fees totaling \$1,266,284.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Hamilton Lane Advisors, LLC	PFM	Yes	No		
HarbourVest Partners I LP/Secondary Overflow Fund IV LP V LP	PFM	Yes	No		

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Harbourvest Partners, LP/Secondary Overlook Fund IV, L.P., V, L.P.	PFM	Yes	No		
Hardwick Law Firm, LLC	DEBT	No	No		
Hawkins, Delafield & Wood, LLP	DEBT	No	No		
HG CT 1 Co-Invest, LP <i>(HG Pooled Management Limited)</i>	PFM	Yes	No		
HG Saturn 2 A, L.P. <i>(HG Pooled Management Limited)</i>	PFM	Yes	No		
HG Saturn 3 A, L.P. <i>(HG Pooled Management Limited)</i>	PFM	Yes	No		
HG Genesis 9 A, L.P. <i>(HG Pooled Management Limited)</i>	PFM	Yes	No		
HG Genesis 10 A, LP <i>(HG Pooled Management Limited)</i>	PFM	Yes	No		
HG Titan 1 A, L.P. <i>(HG Pooled Management Limited)</i>	PFM	Yes	No		
Hilltop Securities, Inc.	DEBT	No	No		
			No		
Holland and Hart, LLP	Legal	Yes	No		
Hollyport Capital, LLP	PFM	Yes	No		
Homestead Capital USA Farmland Fund III, L.P.	PFM	Yes	No		

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Hudepohl & Associates, Inc.	PFM	No	No		
ICG Europe Fund VII	PFM	Yes	No		
ICG Europe Fund VIII	PFM	Yes	No		
Icon Partners II, III, IV B and V B	PFM	Yes	No	Icon Management V, LP was paid \$6,829,945.19 for management fees	
IFM Investros (US) Advisor, LLC	PFM	Yes	No		
ImageMaster, Inc.	DEBT	No	No		
Insight Partners Opportunity Fund I	PFM	Yes	No	Willkie Farr & Gallagher, LLP were paid legal fees in the amount of \$71,167.00	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
				Maples and Calder were paid legal fees in the amount of \$34,996.78	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
				Insight Venture Management, LLC was paid management fees in the amount of \$14,753,718.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
InspereX (fka 280 Securities LLC)	DEBT	No	No		
Investcorp-Tages	PFM	Yes	No		
Investor India Realty Fund II, LLC/IL&FS India Realty Fund II, LLC	PFM	Yes	No		
IPI Partners LLC	PFM	Yes	Yes	IPI Partners, LLC was paid management fees totaling \$900,815 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Ironwood Mezzanine Fund IV, LP	PFM	Yes	No		
Ironwood Capital Management V, LP	PFM	Yes	Yes	Hogan Lovells, LLP was paid \$14,429.50 in connection with legal services fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
J M Lummis & Company	STIF	Yes	No		
J.P. Morgan Securities, LLC	STIF & DEBT	Yes (STIF) & No (DEBT)	No		
Jackson Walker LLP	LEGAL	No	No		
Janney Montgomery Scott LLC	DEBT	No	No		
Jefferies, LLC	DEBT	No	No		

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JFL Equity Investors III, LP	PFM	Yes	No		All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
JFL Equity Investors IV, LP	PFM	Yes	No		All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
JFL Equity Investors V, LP	PFM	Yes	Yes	Debevoise & Plimpton was paid \$19,584.09 (CT share \$1,842.61) in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
JFL Equity Investors VI, LP	PFM	Yes	Yes	Debevoise & Plimpton was paid \$\$1,500,000 (CT share \$41,008) in connection with legal services. Richard, Layton & finger was paid \$23,591 (CT share \$645) in connection with legal services. Cadwalader was paid \$301,465 (CT share \$8,242) in connection with legal services	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
K2 D&S Management Co. LLC/Prudence Crandall Fund IV, LLC	PFM	Yes	Yes		
K5 Private Investors LP <i>Investment Management, LLC</i> (K1)	PFM	Yes	No		
Kelmar Associates, LLC	UCP	No	No		
Kessler Topaz Meltzer & Check, LLP	LEGAL	Yes	No		
Kroll Bond Ratings Agency	DEBT	No	No		
Kroll Government Solutions, LLC	SIF & UCP	No	No		
Lake Hill Capital Management, LLC	PFM	Yes	No		
Landmark Equity Partners XIV, L.P. Management LLC (Ares)	PFM	Yes	Yes	Ernst & Young was paid \$112,665 in connection with accounting services. PricewaterhouseCoopers was paid \$287,328 in connection with accounting services	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Equity Partners XV, L.P. Management LLC (Ares)	PFM	Yes	Yes	<b>Accounting Fees:</b> Ernst & Young - \$245,133.05 PricewaterhouseCoopers - \$187,644.77 <b>Legal Fees:</b> Kirkland and Ellis - \$421,989.29	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))

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				Mayer Brown, LLP - \$237,739.37 Kroll Bond Rating Agency, LLC - \$175,000 Cadwalader, Wickersham & Taft, LLP - 73,847.16	
Landmark Equity Partners XVI, L.P. Management LLC	(Ares PFM)	Yes	Yes	<b>Legal Fees:</b> Gibson, Dunn and Crutcher - \$132,176.90 Kirkland and Ellis - \$135,794.63 Mayer Brown, LLP - 270,929.35 <b>Consulting Fees:</b> KBRA Holdings, LLC -270,929.35 <b>Accounting:</b> Ernst & Young - \$214,112 Deloitte Tax, LLP - \$108,003.26 PricewaterhouseCoopers - \$185,094	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Equity Partners XVII, L.P. Management LLC	(Ares PFM)	Yes	Yes	<b>Legal Fees:</b> Gibson, Dunn and Crutcher, LLP - \$260,492.65 Kirkland and Ellis - \$102,382.90 Cleary Gottlieb Steen & Hamilton - \$121,433.29 <b>Consulting:</b> Validus NA Inc. - \$126,094.85 <b>Facility:</b> Citibank - \$168,470 Massachusetts Mutual Life Insurance Company - \$74,812.19 <b>Accounting:</b> Ernst & Young - \$79,560.27 PricewaterhouseCoopers - \$57,241.94	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Real Estate Partners VII, LP Management LLC	(Ares PFM)	Yes	Yes	<b>Management Fees outside of Commitment:</b> NCH New Europe Property Investors - \$125,288.77 NCH Agribusiness \$74,461.86 DLJ Real Estate Capitla Partners - 174,515.58 Silverpeak Real Estate Partners - \$60,391.99 <b>Accounting:</b> Ernst & Young - \$241,977.48 PricewaterhouseCoopers, LLP - \$413,284.53	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Real Estate Partners VIII, LP Management LLC	(Ares PFM)	Yes	Yes	<b>Legal Fees:</b> Haynes and Boone, LLP - \$153,093.61 Kirkland & Ellis, LLP - \$493,458.23 Reid & Riege P.C. - \$93,384.63 Ropes & Gray LLP - \$62,814.99 Stibbe N.V. - \$83,873.31 <b>Management Fees outside of Commitment:</b> Aegon Workforce Housing JV 4B, LLC - \$67,881.11 Aegon Workforce Housing JV4C, LLC - \$125,710.76 Gateway V GP Ltd. - \$126,753.02 NCH Agribusiness Partners, L.P. - \$481,479.74 Iron Point Real Estate Partners III- TE (H) LP - \$64,604.52 Iron Point Real Estate Partners II - TE (H), LP - \$53,443.63 Montford Charlotte Apartments Portfolio, LLC - \$181,189.16	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))

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				NCH New Europe Property Fund, L.P. - 53,220.10 Rockpoint Real Estate Fund V, GP, LLC - \$163,457.10 <b>Consulting Fees:</b> IQ EQ Administration Services (UK) Ltd - \$74,759.06 <b>Accounting Fees:</b> Deloitte - \$499,217.09 Ernst & Young U.S. LLP - \$246,039.15	
Landmark Real Estate Partners IX, LP	PFM	Yes	Yes	<b>Legal Fees:</b> Cadwalader, Wickersham & Taft, LLP - \$82,870.50	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Leading Edge Investment Advisors	PFM	Yes	Yes	<b>Management Fees</b> Granite Investment Partners: \$87,852.59 Lisanti Capital Growth: \$67,782.59 Nicholas Investment Partners: \$73,041.35 Palisades Investment Partners: \$115,429.91 Phocas Financial Corporation: \$74,720.95 Profit Investment Management: \$81,161.64 Redwood Investments: \$58,403.83 Villanova Investment Management Company: \$92,732.10	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Leeds Equity Partners	PFM	Yes	Yes	UBS Securities LLC was paid \$4,284,946 in connection with placement agent services; Leeds Equity Advisors, LLC was paid \$2,225,65 for mangement of investment funds.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Levine Leichtman Capital Partners IV, LLC	PFM	Yes	No		
Levine Leichtman Capital Partners V, LLC	PFM	Yes	No		
Lieff, Cabraser, Heimann & Bernstein, LLP	LEGAL	No	No		
Livingbridge EP LLP	PFM	Yes	No		
Locke Lord LLP	LEGAL	Yes	Yes	Locke Lord LLP was paid \$35,000 for legal services	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Lonestar Real Estate Partners II, L.P.	PFM	Yes	No		
Longfellow Investment Manager Co., LLC	PFM	Yes	No		
Loop Capital Markets, LLC	STIF & DEBT	Yes (STIF) & No (DEBT)	No		

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Lord Abbett & Co., LLC	PFM	Yes	No		
Lowey Dannenberg, P.C.	LEGAL	Yes	No		
McCarter & English, LLP	LEGAL	No	No		
Meketa Investment Group, Inc.	PFM	Yes	No		
Mesirow Financial, Inc.	DEBT	No	No		
Mesirow Financial REVF IV-GP, LLC	PFM	Yes	Yes	\$131,250 was paid for management fees payable to Mesirow Financial Investment Management, Inc.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Mischler Financial Group, Inc.	DEBT	Yes	No		
Mizuho Securities USA, Inc.	STIF	Yes	No		
Moody's Investor Service	STIF & PFM	No	No		
Morgan Stanley & Co. LLC	DEBT	Yes	Yes	<b>Legal Fees:</b> Bryant Miller Ofive - \$20,000 MHW Law Group - \$20,000	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Morgan Stanley Investment Management	PFM	Yes	No		
Motley Rice, LLC	LEGAL	No	No		
M&T Bank <i>(f.k.a</i> <i>People's United Bank, N.A. )</i>	CASH	No	No		
				ArentFox Schiff LLP was paid \$16,774.56 in connection with legal services. RSM US, LLP was paid \$30,930 in connection with audit/tax fees.	

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Muller & Monroe Asset Management, LLC <i>(CT Emerging Private Equity Fund of Funds L.P.)</i>	PFM	Yes	Yes	GreatBanc Trust Company was paid \$22,270.52 in connection with custody services. Upwelling was paid \$5,000 in connection with secondary sale advisor services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Muller & Monroe Asset Management, LLC <i>(Freeman CT Horizon Investment Fund, LLC)</i>	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid \$234,375 in connection with management fees ArentFox Schiff LLP was paid \$80,796.72 in connection with legal services. GreatBanc Trust Company was paid \$30,000 in connection with custody services. RSM US LLP was paid \$53,450 in connection with audit/tax fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Muller & Monroe Asset Management, LLC <i>(CT Horizon Legacy Fund, LP)</i>	PFM	Yes	Yes	Muller & Monroe was paid \$50,000 in connection with management fees. ArentFox Schiff, LLP was paid \$3,490.44 in connection with legal fees RSM US LLP was paid \$39,680 in connection with audit/tax fees. GreatBanc Trust Company was paid \$4,500 in connection with custody services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Multi-Bank Securities, Inc.	DEBT	No	No		
Natwest Markets <i>(f.k.a. RBS Securities, Inc.)</i>	STIF & PFM	Yes	No		
New Haven Bank <i>(formerly Start Community Bank)</i>	STIF	No	No		
Nomura Corporate Research and Asset Management, Inc.	STIF & PFM	Yes	No		
Nordea	STIF	Yes	No		
Northern Trust Investments, Inc.	PFM	Yes	No		

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Nossaman LLP	LEGAL	Yes	No		
Nutmeg Opportunities Fund, L.P. <i>(J.P. Morgan Investment Management, Inc.)</i>	PFM	Yes	No		
Nutmeg Opportunities Fund II, LLC <i>(J.P. Morgan Investment Management, Inc.)</i>	PFM	Yes	No		
NW Financial Group, LLC <i>(parent company of NW Capital Markets, Inc.)</i>	DEBT	No	No		
Blue Owl Real Estate Capital, LLC (formerly Oak Street Real Estate Capital, LLC)	PFM	Yes	No		
O'Brien-Staley Partners; OSP Value Fund III & III-B; OSP Value Fund IV, LP & OSP Value Fund IV-B, LP	PFM	Yes	No		
One Rock Capital Partners LLC	PFM	Yes	No		
One River Asset Management	PFM	Yes	Yes	One River Asset Management, LLC was paid \$2,056,847 in management and incentive fees.	
Oppenheimer & Co., Inc.	STIF & DEBT	Yes (STIF) & No (DEBT)	No		
Orrick, Herrington & Sutcliffe, LLP	LEGAL	Yes	No		
Pacific Investment Management Company (PIMCO)	PFM	Yes	No		
Paine Schwartz Food Chain Fund VI, L.P.	PFM	Yes	Yes	Lazard Freres & Co. LLC and Lazard Co. were paid \$300,000 in connection with placement agent fees.	
Payden & Rygel	PFM	Yes	No		
P/E Investments	PFM	Yes	No		

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Penwood Real Estate Investment Management, LLC	PFM	Yes	No		
Penzance DC Real Estate Fund II, LP	PFM	Yes	No		
PFM Financial Advisors, LLC <i>(f.k.a. Public Financial Management, Inc.)</i>	DEBT	No	No		
PGIM Real Estate <i>(f.k.a. Prudential Property Investments S/A, LP)</i>	PFM	Yes	No		
PineBridge Investments, LLC	PFM	Yes	No		
Piper Sandler & Co. <i>(formerly Piper Jaffray &amp; Co.)</i>	STIF & DEBT	Yes (STIF) & No (DEBT)	Yes	Legal Fees: Robinson & Cole, LLP - \$25,000 Bryant Rabbino, LLP - \$25,000	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
PNC Capital Markets, LLC	DEBT	No	No		
Polen Capital Credit, LLC <i>(aka DDJ Capital Management, LLC)</i>	PFM	Yes	No		
Portman Square Capital	PFM	Yes	No		
Prime Property Fund, LLC/CT Real Assets Co-Investment Fund LP (Series 1, RE) <i>(Morgan Stanley Real Estate Advisor)</i>	PFM	Yes	No		

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Pugh Capital Management, Inc.	PFM	Yes	No		
Pullman & Comley, LLC	LEGAL	No	No		
Rabo Securities USA, Inc.	STIF	Yes	No		
Raymond James & Associates, Inc.	CASH & DEBT	No	No		
RBC Capital Markets, LLC	STIF & DEBT	Yes (STIF) & No (DEBT)	No		
Reinhart, Boerner, Van Deuren, sc	Legal	Yes	No		
RFE Invesment Partners VII, LP	PFM	Yes	No		
RhumbLine Advisers LP	PFM	Yes	No		
Rice Financial Products Company	DEBT	No	No		
Riverbridge Partners, LLC	PFM	Yes	No		
Robbins Geller Rudman & Dowd, LLLP	Legal	No	No		
Robert W. Baird & Co., Incorporated	DEBT	No	No		
Roberts & Ryan Investment, Inc	DEBT	No	No		
Robinson & Cole, LLP	Legal	No	No		
Robinson Bradshaw & Hinson, P.A.	LEGAL	Yes	No		
Rockfleet Financial Services, Inc.	DEBT	No	No		
Rockpoint Group, LLC	PFM	Yes	Yes	Rockpoint Group, LLC was paid management fees totaling \$1,271,821.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))

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Roosevelt & Cross, Incorporated	DEBT	No	No		
Rubicon Point Partners, LLC	PFM	Yes	No		
Samuel A. Ramirez & Co., Inc.	DEBT	No	No		
San Blas Securities, LLC	Debt	No	No		
Saxena White, PA	Legal	No	No		
Schroder Investment Management North America, Inc.	PFM	Yes	No		
Scotiabank	STIF	Yes	No		
Security Capital Brokerage, Inc.	DEBT	No	No		
Sedgwick Claims Management Services, Inc.	SIF	No	No		
Seward & Monde, CPAs	DEBT	No	No		
Shenkman Capital Management, Inc.	PFM	Yes	No		
SHI International Corp.	DEBT	No	No		
Shipman & Goodwin, LLP	Legal	No	No		
Siebert Williams Shank & Co., LLC <i>(f.k.a. Siebert Cisneros Shank &amp; Co., LLC)</i>	STIF & DEBT	Yes (STIF) & No (DEBT)	Yes	Legal Fees: Shipman & Goodwin, LLP - \$20,000 D. Seaton and Associates, P.A. - \$20,000	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Singh Technology Group, LLC	DEBT	No	No		
Siris Partners IV, L.P	PFM	Yes	No		

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(Siris Capital Group, LLC	PFM	Yes	No		
Sixth Street TAO GenPar, LP	PFM	Yes	No		
Soeder & Associates LLC	DEBT	No	No		
Squire, Patton Boggs, LLP	Legal	Yes	No		
SS&C Technologies, Inc.	DEBT	No	No		
Starwood Distressed Opportunity Fund IX, L.P.	PFM	Yes	Yes	<b>Tax Advisor:</b> Ernst & Young, LLP - \$866,118 Forecastle Advisors, LLC - \$380,000 <b>Legal Services:</b> Paul Hastings, LLP - \$84,286 Kirkland & Ellis - \$50,175 Rinaldi, Finkelstein & Franklin, LLC - \$866,537 <b>Consulting :</b> ST Global Services, LLC - \$202,513 Endurance Services Limited - \$90,539 CT Corporation System - \$95,737 <b>Audit / Tax:</b> Pricewaterhousecoopers, Llp - \$468,780	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Starwood Global Opportunity Fund VII, LP	PFM	Yes	Yes	Beacon Advisors was paid \$90,000 in connection with consulting services. Deloitte & Touche LLP was paid \$126,002 in connection with auditing services. Ernst & Young LLP was paid \$205,289 in connection with tax advisor services. Kirkland & Ellis was paid \$118,591 in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Starwood Global Opportunity Fund VIII, LP	PFM	Yes	Yes	Ernst & Young LLP was paid \$522,049 in connection with tax advisor services. Pricewaterhousecoopers, LLP was paid \$300,930 in connection with audit/tax services. Forecastle Advisors, LLC was paid \$97,500 in connection with tax advisor services. Rinaldi, Finkelstein & Franklin, LLC was paid \$57,511 in connection with legal services. Bank of America NA was paid \$281,921 in connection with advisor services. CT Corporation System Fees was paid \$85,573 in connection with consulting fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Starwood Opportunity Fund X Global, LP	PFM	Yes	Yes	<b>Audit / Tax:</b> Deloitte & Touche, LLP \$905,791 <b>Tax Advisor:</b> Ernst & Young LLP - \$1,175,816 Forecastle Advisors, LLC - \$770,000 <b>Consulting :</b> Grant Thornton, LLP - \$533,756	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))

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				ST Global Services, LLC - \$61,600 <b>Legal:</b> Kirkland & Ellis - \$157,061 Rinaldi, Finkelstein & Franklin, LLC - \$309,766 <b>Insurance:</b> Northeast Series of Lockton Companies, LLC - \$60,533	
Starwood Opportunity Fund XI, LP	PFM	Yes	Yes	<b>Consulting:</b> A5 REIT Services, LLC - \$324,228 Costar Realty Information, Inc. - \$50,050 CT Corporation System - \$265,811 Grant Thornton, LLP - \$715,170 Paul Hastings, LLP - \$196,712 ST Global Services, LLC - \$56,910 <b>Tax Advisor:</b> Ernst & Young, LLP - \$2,272,332 Forecastle Advisors, LLC - \$785,000 <b>Legal:</b> Kirkland & Ellis - \$368,053 Rinaldi, Finkelstein & Franklin, LLC - \$843,152 Sidley Austin, Llp - \$613,430 <b>Insurance:</b> Northeast Series of Lockton Companies, LLC - \$124,603 Endurance Services Limited - \$76,959 <b>Auditor:</b> Pricewaterhousecoopers, LLP - \$908,608 <b>Fund Administration:</b> UMB Fund Services, Inc. - \$129,132	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
State Street Global Advisors Trust Company	PFM	Yes	No		
Stellex Capital Partners II, LP	PFM	Yes	Yes	Stellex Management II was paid management fees totaling \$1,485,456 pursuant to the Limited Partnership Agreement. <b>Placement Fee:</b> Credit Suisse and Six Point- \$54,420 Six Point - \$36,158	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Stern Brothers & Co.	DEBT	No	No		
StoneCastle Cash Management, LLC	STIF	Yes	No		
Stonepeak Partners LP	PFM	Yes	No		
Stone X Financial, Inc. (formerly Int'l FCStone Partners, L.P.)	STIF	Yes	No		
Strategic Value Partners	PFM	Yes	No		
Sycamore Advisors, LLC	DEBT	No	No		

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Systematica Investments	PFM	Yes	No		
T. Rowe Price Associates, Inc.	PFM	Yes	No		
TCW	PFM	Yes	No		
TD Bank, N.A.	STIF	No	No		
TD Securities (USA) LLC	STIF & DEBT	Yes (STIF), No (DEBT)	No		
TKG & Associates	DEBT	No	No		
T&M USA, LLC	SIF & UCP	No	No		
The Rock Creek Group, LP	PFM	Yes	No		
The Vistria Group, LP	PFM	Yes	No		
Tiger Infrastructure Partners LP	PFM	Yes	No		
Torchlight Investors LLC	PFM	Yes	No		
Toyota Financial Services Motor Credit Corporation) (Toyota	STIF	Yes	No		
TruAmerica Multifamily LLC	PFM	Yes	Yes	Lightpath Capital was paid \$183,333 in connection with investment and placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
U.S. Bank National Association	STIF. CASH & DEBT	Yes (STIF), No (CASH & DEBT)	No		
UBS Financial Services, Inc.	DEBT	No	No		
UBS Trumbull Property Fund (managed by UBS Realty Investors LLC)	PFM	Yes	Yes	Trumbull Property Fund was paid advisory fees totaling \$449,795.69.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
UBS Trumbull Property Growth & Income Fund (managed by UBS Realty Investors LLC)	PFM	Yes	Yes	Trumbull Property Growth & Income Fund was paid advisory fees totaling \$1,046,198.56.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))

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UBS Trumbull Property Income Fund (managed by UBS Realty Investors LLC)	PFM	Yes	Yes	Trumbull Property Income Fund was paid advisory fees totaling \$422,692.16.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
UMB Bank, n.a.	DEBT	No	No		
Vista Equity Partners Fund III, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$34,407 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund IV, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$36,287 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund VI, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$140,494 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund VII, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$382,347 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund VIII, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$1,009,059 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vistria Fund, III, IV, V, LP	PFM	Yes	No		
Waterton	PFM	Yes	No		
Webster Bank, N.A.	CASH	No	No		
Wellington Management Company	PFM	Yes	No		
Wells Fargo Bank, National Association	CASH & DEBT	No	No		
Wells Fargo Securities, LLC	STIF	Yes	No		
Wellspring Capital Partners V, L.P. & Wellspring Capital Partners VI, L.P.	PFM	Yes	No	Wellspring Capital Management, LLC was paid \$66,446 in management fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
				Wellspring Capital Management Group, LLC was paid \$578,333 in management fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Welsh Carson Anderson & Stowe Fund XI, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XII, LP	PFM	Yes	No		

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Welsh Carson Anderson & Stowe Fund XIII, LP	PFM	Yes	No		
West Street CT Private Credit Partnership, L.P. (managed by Goldman Sachs)	PFM	Yes	No		
WL Ross & Co LLC	PFM	Yes	No		
Xponance, Inc. (formerly FIS Group)	PFM	Yes	No		
Yucaipa American Alliance Fund II, LP	PFM	Yes	No		
Yucaipa American Alliance Fund III, LP	PFM	Yes	No		